

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frank Bagala et al. )  
Serial No.: 10/604,549 ) Group Art Unit: 1754  
Filing Date: July 30, 2003 ) Examiner:  
For: PROCESS AND SYSTEMS FOR MAKING ) S. Hendrickson  
PHOSGENE )

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

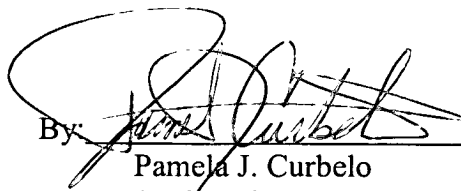
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By:   
Pamela J. Curbelo  
Registration No. 34,676

Date: January 13, 2005  
Customer No.: 23413  
Telephone: (860) 286-2929

<p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> <div style="position: absolute; top: 50px; left: 50px; border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center;"> <p>U.S. PATENT &amp; TRADEMARK OFFICE</p> <p>JAN 18 2005</p> </div>	Docket Number (Optional) <div style="text-align: center;"><b>131236-1</b></div>	Application Number <div style="text-align: center;"><b>10/604,549</b></div>
	Applicant(s) <div style="text-align: center;"><b>Frank Bagala et al.</b></div>	
	Filing Date <div style="text-align: center;"><b>July 30, 2003</b></div>	Group Art Unit <div style="text-align: center;"><b>1754</b></div>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	EP 1112997 A2	04.07.2001	Europe				✓
	2	WO 02/08362 A1	31.01.2002	International				✓

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)
3			Chemical Abstracts and Indexes, American Chemical Society, 02 July 1990, XP000063501, ISSN: 0009-2258; "Manufacture of High-Purity Phosgene Gas", Abstract Only, 1 page
4			International Search Report, International Application No. PCT/US2004/023429; International Filing date: July 21, 2004; Date of Mailing: November 22, 2004; 6 pages

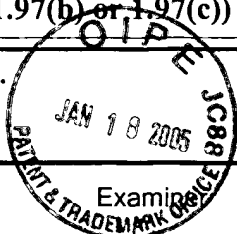
EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
131236-1

In Re Application Of: **Frank Bagala et al.**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/604,549	July 30, 2003	S. Hendrickson	23413	1754	1548

Title: **PROCESS AND SYSTEMS FOR MAKING PHOSGENE**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

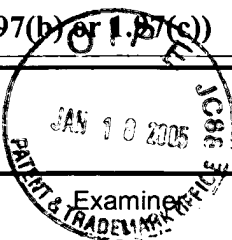
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

131236-1

In Re Application: Frank Bagala et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/604,549	July 30, 2003	S. Hendrickson	23413	1754	1548

Title: PROCESS AND SYSTEMS FOR MAKING PHOSGENE

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

January 13, 2005

(Date)

Signature of Person Mailing Correspondence

Sonia Mancini

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: January 13, 2005

Pamela J. Curbelo

Registration No. 34,676

Customer No. 23413

(860) 286-2929

CC: